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PACFI-001C1  
SERIAL NO.: 09/440,903  
FILED: 11/06/99

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#### PAPERS TRANSMITTED HEREWITH:

1. TRANSMITTAL LETTER of 2 pgs submitted in triplicate;
2. FEE TRANSMITTAL of 1 pg. in duplicate;
3. AMENDMENT, PETITION AND FEE TO DELETE AND/OR ADD TO ORIGINAL ERRONEOUSLY NAMED INVENTORS IN DECLARATION (37 C.F.R. 1.48 (A)(2)) of 2 pgs.;
4. Check for \$130.00 (Petition Fee);
5. SUBSTITUTE DECLARATION of 3 pgs.;
6. STATEMENTS/DECLARATIONS UNDER 37 C.F.R. § 1.324(b) of 2 pgs.;
7. CERTIFICATE OF MAILING of 1 pg.; and
8. Return Postcard.



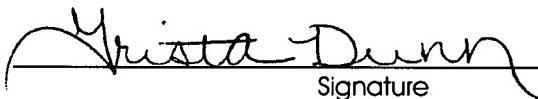
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BOX AMENDMENT  
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WASHINGTON, D.C. 20231

on 10-29-00  
(Date)

  
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#### PAPERS TRANSMITTED HEREWITH:

1. TRANSMITTAL LETTER of 2 pgs submitted in triplicate;
2. FEE TRANSMITTAL of 1 pg. in duplicate;
3. AMENDMENT/RESPONSE TO OFFICE ACTION of 7 pgs;
4. TERMINAL DISCLAIMER of 1 pg.;
5. Check for \$55.00 (Term. Disclaimer fee/Small Entity);
6. PETITION FOR EXTENSION OF TIME UNDER 37 C.F.R. 1.136(A) of 1 pg.;
7. Check for \$55.00 (One month extension fee; Copies of Substitute Declaration and accompanying Statements Under 37 C.F.R. § 1.324(b) of 5 pgs. (filed under separate cover);
8. and Return Postcard.

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JUL 12 2000  
TECHNOLOGY CENTER 3700

B

Practitioner's Docket No. PACFI-001C1**PATENT****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: PAUL H. BENNETT ET AL.

Application No.: 09/440,903 ✓ Group No.: 3727

Filed: 11/06/00 Examiner: S. CRONIN

For: TAMPER-EVIDENT CONTAINER CLOSURE

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JUL 07 2000

Assistant Commissioner for Patents  
Washington, D.C. 20231OFFICE OF PETITIONS  
DEPUTY A/C PATENTS

**AMENDMENT, PETITION AND FEE TO DELETE AND/OR  
ADD TO ORIGINAL ERRONEOUSLY NAMED INVENTOR(S) IN  
DECLARATION  
(37 C.F.R. § 1.48(a))**

**NOTE:** 37 C.F.R. § 1.48(f) states: "If the correct inventor or inventors are not named on filing a nonprovisional application under § 1.53(b) without an executed oath or declaration under § 1.63, the later submission of an executed oath or declaration under § 1.63 during the pendency of the application will act to correct the earlier identification of inventorship."

"(2) If the correct inventor or inventors are not named on filing a provisional application without a cover sheet under § 1.51(c)(1), the later submission of a cover sheet under § 1.51(c)(1) during the pendency of the application will act to correct the earlier identification of inventorship."

1. This amendment and petition is to correct the incorrect original naming of inventor(s) in the declaration under 37 C.F.R. § 1.48(a) as set forth and filed on \_\_\_\_\_ (date).
2. Addition and/or Deletion of Inventor(s)

(check and complete all applicable items)

- Add the following previously unnamed person(s) as inventor(s) of this application:  
THOM M. PERLMUTTER  
 \_\_\_\_\_  
 \_\_\_\_\_
- Delete the following previously incorrectly named inventor(s)  
 \_\_\_\_\_  
 \_\_\_\_\_

**CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. § 1.8(a))**

I hereby certify that this correspondence is, on the date shown below, being:

## MAILING

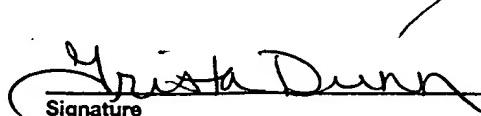
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Date: 07/29/00

## FACSIMILE

transmitted by facsimile to the Patent and Trademark Office.

Signature



TRISTA DUNN

(type or print name of person certifying)

07/06/2000 SLUANG1 00000064 09440903

01 FC:122

130.00 OP

(Amendment, Petition and Fee to Delete and/or Add to Original Erroneously Named Inventor(s) in Declaration  
(37 C.F.R. § 1.48(a) [9-22]—page 1 of 2)

#8  
7/24/00  
7/21/00

3. Attachments

Attached is

(a) A statement from: PAUL H. BENNETT  
AND THOM M. PERLMUTTER  
*(check items below that apply)*

each person being added as an inventor that the error in inventorship occurred without deceptive intention on his or her part. 37 C.F.R. § 1.48(a)(1).

each person being deleted as an inventor that the error in inventorship occurred without deceptive intention on his or her part. 37 C.F.R. § 1.48(a)(1).

(b) a declaration by each of the actual inventor(s) as required by 37 C.F.R. § 1.63 (or as permitted by §§ 1.42, 1.43, OR 1.47). 37 C.F.R. § 1.48(a)(2).

(c) written assent of the assignee (*if any of the original inventors executed an assignment*) 37 C.F.R. 1.48(a)(4)

(d)

*(check the following item, if all the inventor(s) remaining after this petition and amendment is accepted are not the inventor(s) of the subject matter of all the claim(s) now being claimed.)*

Attached is an explanation of the facts, including the ownership of all the claim(s) being claimed in this application, including the ownership of all the claim(s) at the time the last claimed invention was made (Declaration of Inventorship and Common Ownership of Claims in Application).

4. Fee Payment (37 C.F.R. § 1.17(i))

The fee required is paid as follows:

Enclosed is a check for \$130.00.

Charge Account 19-4330 for any fee deficiency.

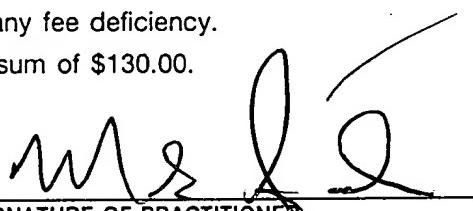
Charge Account \_\_\_\_\_ the sum of \$130.00.

Date: 6/29/00

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SIGNATURE OF PRACTITIONER

MARK B. GARRED

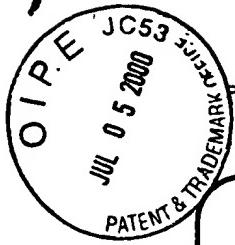
*(type or print name of practitioner)*

STETINA BRUNDA GARRED & BRUCKER  
24221 Calle de la Louisa, 4th Flr.

P.O. Address

Laguna Hills, CA 92653-3642

(Amendment, Petition and Fee to Delete and/or Add to Original Erroneously Named Inventor(s) in Declaration  
(37 C.F.R. § 1.48(a) [9-22]—page 2 of 2)



Please type a plus sign (+) inside this box →  +

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission	22	Attorney Docket Number	PACFI-001C1
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### ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers <i>(for an Application)</i>	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment / Response <i>(6 pgs.)</i>	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group <i>(Appeal Notice, Brief, Reply Brief)</i>
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Extension of Time Request of 1 pg.	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) <i>(please identify below):</i>
<input type="checkbox"/> Express Abandonment Request	<input checked="" type="checkbox"/> Terminal Disclaimer <i>(1 pg.)</i>	<input type="checkbox"/> SEE ANNEX A ATTACHED HERETO
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	JUL 12 2000
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	RECEIVED TECHNOLOGY CENTER 3700
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	<input type="checkbox"/> Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	MARK B. GARRED STETINA BRUNDA GARRED & BRUCKER
Signature	
Date	6/29/00

### CERTIFICATE OF MAILING

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Typed or printed name	TRISTA DUNN
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Signature	Date
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# FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.

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**TOTAL AMOUNT OF PAYMENT (\$)** **110.00**

Complete if Known	
Application Number	09/440,903
Filing Date	11/06/99
First Named Inventor	PAUL H. BENNETT ET AL.
Examiner Name	S. CRONIN
Group / Art Unit	3727
Attorney Docket No.	PACFI-001C1

<b>METHOD OF PAYMENT</b> (check one)		<b>FEE CALCULATION</b> (continued)	
1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:		<b>3. ADDITIONAL FEES</b> Large Entity Small Entity Fee Fee Fee Fee Fee Description Code (\$) Code (\$) Code (\$) Code (\$) Fee Paid	
Deposit Account Number	19-4330	105	130 205 65 Surcharge - late filing fee or oath
Deposit Account Name	STETINA BRUNDA	127	50 227 25 Surcharge - late provisional filing fee or cover sheet.
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17		139	130 139 130 Non-English specification
2. <input checked="" type="checkbox"/> Payment Enclosed:		147	2,520 147 2,520 For filing a request for reexamination
<input checked="" type="checkbox"/> Check <input type="checkbox"/> Money <input type="checkbox"/> Order <input type="checkbox"/> Other		112	920* 112 920* Requesting publication of SIR prior to Examiner action
<b>FEE CALCULATION</b>		113	1,840* 113 1,840* Requesting publication of SIR after Examiner action
1. BASIC FILING FEE		115	110 215 55 Extension for reply within first month
Large Entity Small Entity Fee Fee Fee Fee Fee Description Code (\$) Code (\$) Code (\$) Fee Paid		116	380 216 190 Extension for reply within second month
101	690	201	345 Utility filing fee
106	310	206	155 Design filing fee
107	480	207	240 Plant filing fee
108	690	208	345 Reissue filing fee
114	150	214	75 Provisional filing fee
SUBTOTAL (1) (\$)		N/A	Fee Paid
2. EXTRA CLAIM FEES		55.	
Extra Claims      Fee from below      Fee Paid Total Claims -20** = X = Independent Claims - 3*** = X = Multiple Dependent = X =			
**or number previously paid, if greater; For Reissues, see below			
Large Entity Small Entity Fee Fee Fee Fee Fee Description Code (\$) Code (\$) Code (\$) Fee Paid			
103	18	203	9 Claims in excess of 20
102	78	202	39 Independent claims in excess of 3
104	260	204	130 Multiple dependent claim, if not paid
109	78	209	39 ** Reissue independent claims over original patent
110	18	210	9 ** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2) (\$)		N/A	
* Reduced by Basic Filing Fee Paid		SUBTOTAL (3) (\$)	
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TERMINAL DISCLAIMER			
Other fee (specify)			
Other fee (specify)			
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SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	MARK B. GARRED	Registration No. (Attorney/Agent)	34,823
Signature		Telephone	(949) 853-1246
Date	6/29/00		

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